SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

1. Name and Address of Reporting Person* Au Reynette K.					2. Issuer Name and Ticker or Trading Symbol <u>Energous Corp</u> [WATT]							(Check	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last)	(First)		iddle)		3. Date of Earliest Transaction (Month/Day/Year) 01/03/2023								Officer (give title below)		Other (sp below)				
C/O ENERGOUS CORPORATION 3590 N 1ST STREET, SUITE 210					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv X							
(Street) SAN JOSE CA 95134												Form file	d by More	than O	ne Reportir	g Person			
(City)	(State)	(Zi	p)																
		Та	ble I - Nor	n-Deri	ivative S	ecurities Acq	uired,	Disp	osed of,	, or l	Benefic	cially Ow	ned						
1. Title of Security (Instr. 3) 2. Trans Date (Month/				saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a			5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership				
							Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Common Stock 01/0					3/2023		A		20,000	(1)	Α	\$0.00 ⁽²⁾	132,337		D				
Common Stock 01/0					3/2023		A		25,000	(1)	Α	\$0.00 ⁽²⁾	(2) 157,337		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date 3A. Deemed Execution Date. (Month/Day/Year) 1. Used in the image of the imag		ate, T	. 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amoun Securities Underly Derivative Securit (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5) Beneficia Owned Following Reported Transacti		e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

Explanation of Responses:

1. The restricted stock units ("RSUs") vest as to 100% of the award on January 2, 2024, subject to the Reporting Person's continued service to the Issuer through such date. The RSUs were granted to the reporting person pursuant to the Company's Non-employee Director Compensation Policy.

(D)

Date

Exercisable

Expiration Date

Title

2. Each RSU represents a contingent right to receive one share of the Issuer's common stock upon settlement.

Remarks:

/s/ Bill Mannina, Attorney-in-Fact 01/05/2023

Amount

Number

of Shares

or

** Signature of Reporting Person Date

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

V (A)

Code