SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person                  |         |     |              |  | 2. Issuer Name and Ticker or Trading Symbol<br>Energous Corp [ WATT ] |                |         |   |           |      |               |  | ionship of Reporting P<br>all applicable)<br>Director |   | erson(s) to Issue   | vner     |
|--|---------|-----|--------------|--|---|----------------|---------|---|-----------|------|---------------|--|---|---|---|----------|
| (Last) (First) (Middle)                                  |         |     |              |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/03/2023        |                |         |   |           |      |               |  | Officer (g<br>below)                                  | jive title  | Other<br>below)   | specify  |
| C/O ENERGOUS CORPORATION<br>3590 FIRST STREET, SUITE 210 |         |     |              | 4. If Amendment, Date of Original Filed (Month/Day/Year) |   |                |         |   |           |      | 6. Indiv      | dividual or Joint/Group Filing (Check Applicable Line)<br>X Form filed by One Reporting Person |   |   |   |          |
| (Street)   |         |     |              |  |   |                |         |   |           |      |               | Form file  | d by More   | than One Reporti  | ng Person   |          |
| SAN JOSE CA 95134  |         |     |              |  |   |                |         |   |           |      |               |  |   |   |   |          |
| (City)   | (State) | (Zi | p)           |  |   |                |         |   |           |      |               |  |   |   |   |          |
|  |         | Та  | ble I - Nor  | n-Deri   | vative S  | ecurities Acq  | uired,  | Disp  | osed of,  | or   | Benefi        | cially Ow  | ned   |   |   |          |
| 1. Title of Security (Instr. 3)<br>Date<br>(Month        |         |     |              | saction<br>/Day/Year)                                    | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)           |                |         | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and |           |      |               | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported<br>Transaction(s)       |   | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|  |         |     |              |  |   |                | Code    | v   | Amount    |      | (A) or<br>(D) | Price  | (Instr. 3 and 4)                                      |   |   | (1150.4) |
| Common Stock 01/0  |         |     |              |  | 3/2023  |                | Α       |   | 9,726     | 1)   | Α             | <b>\$0.00</b> <sup>(2)</sup>   | 59,726  |   | D   |          |
|  |         |     | Table II - [ | Deriva   | tive Sec  | urities Acqui  | red, Di |   |           | r Be | eneficia      | ally Owne  | ed  |   |   |          |
|  |         |     |              |  |   | s, warrants, c | options | s, co   | nvertible | e se | curitie       | s)   |   |   |   |          |

#### Explanation of Responses:

1. The restricted stock units ("RSUs") vest as to 100% of the award on January 2, 2024, subject to the Reporting Person's continued service to the Issuer through such date. The RSUs were granted to the reporting person pursuant to the Company's Non-employee Director Compensation Policy.

(D)

Date

Exercisable

Expiration

Title

Date

2. Each RSU represents a contingent right to receive one share of the Issuer's common stock upon settlement.

#### Remarks:

### /s/ Bill Mannina, Attorney-in-Fact 01/05/2023

Transaction(s)

(Instr. 4)

\*\* Signature of Reporting Person Date

Amount

of Shares

or Number

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code V (A)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.