SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

-	•••••	•				Washin	gton, D	.C. 205	49				Γ	_			N /A I	
													OMB APPROVAL					
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See					ENT OF CHANGES IN BENEFICIAL OWNE								ERSHIP			OMB Number: 3235-02 Estimated average burden hours per response: 0		
Instruction 1(b).				Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940										nours	ber respor	se:	0.5	
1. Name and Address of Reporting Person [*] Crotty William						2. Issuer Name and Ticker or Trading Symbol <u>Energous Corp</u> [WATT]							nip of Re oplicable ector	, 10% Ow				
(Last) 303 RAY		irst)	(Middle)		3. Date of Earliest Transaction (Month/Day/Year)									Officer (give title Other (specify below) below) Vice President of Operations				
(Street) PLEASANTON CA 94566					- 4. If Am	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person						
(City) (State) (Zip)												Form filed by More than One Reporting Person						
		Ta	ble I - Noi	ו-Deri	vative S	ecurities Acc	quire	l, Dis	posed o	f, or Be	neficia	lly Owr	ned					
1. Title of Security (Instr. 3) 2. Trans Date (Month/			saction /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Cod	saction e (Instr.		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)				y (6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
							Cod	e V	Amount	(A) or (D)	Price	Tran	saction(s r. 3 and 4				(1130.4)	
						curities Acqu lls, warrants,							d					
1. Title of 2. 3. Transaction 3A. Deemed					4.								of 9 N	lumber	of 10.		11. Natur	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(1)	07/14/2014		A		70,000		(2)	(2)	Common Stock	70,000	\$0	70,000	D	

Explanation of Responses:

1. Each restricted stock unit represents a contingent right to receive one share of common stock.

2. The restricted stock units vest as follows: 25% vest on March 28, 2015, and the remaining units vest in three equal annual installments on each one-year anniversary thereafter.

<u>/s/ William Crotty by Mark</u> Busch, attorney-in-fact	<u>07/16/2014</u>			
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.