SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Instruction 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	nours per	nours per response: 0.5		
			or Section 30(h) of the Investment Company Act of 1940				
1. Name and Address of Reporting Person [*] Sahejpal Neeraj (Last) (First) (Middle) C/O ENERGOUS CORPORATION 3590 NORTH FIRST STREET, SUITE 210			2. Issuer Name and Ticker or Trading Symbol <u>Energous Corp</u> [WATT]		tionship of Reporting P all applicable) Director Officer (give title	erson(s) to Issuer 10% Owner Other (specify	
			3. Date of Earliest Transaction (Month/Day/Year) 07/02/2021		below) Senior VP, Produc	below) ct Marketing	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year) 07/07/2021	6. Indivi Line) X	idual or Joint/Group Fil Form filed by One Re		
SAN JOSE	CA	95134			Form filed by More the Person	nan One Reporting	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities A Disposed Of (5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	07/02/2021		A		42,206 ⁽¹⁾	A	\$0.00	370,793	D	
Common Stock	07/02/2021		S ⁽²⁾		20,926	D	\$2.71	349,867	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) or Dispo of (D) (Instr	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A cate Exercisable au Expiration Date (Month/Day/Year) (Month/Day/Year)		ate	and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents the number of shares vested upon the achievement of certain performance criteria pursuant to performance-based restricted stock units granted to the Reporting Person on March 21, 2021 under to the Issuer's 2015 Performance Share Unit Plan.

2. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the acquisition of stock reported on this Form 4 pursuant to the satisfaction of performance criteria. This sale is mandated by the Issuer's election under its equity incentive plans to require the satisfaction of tax withholding obligations to be funded by a "sell to cover" transaction and does not represent a discretionary trade by the Reporting Person.

Remarks:

This amendment to Form 4 includes the Reporting Person's acquisition of shares of the Issuer's Common Stock in connection with the settlement of vested performance-based restricted stock units on July 2, 2021, which was inadvertently omitted from the original Form 4 filed on July 7, 2021.

<u>/s/ Bill Mannina, Attorney-in-</u> <u>Fact</u> 07/30/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.