| SEC Form 4 |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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|-------------------------------------|--|
| to Section 16. Form 4 or Form 5     |  |
| obligations may continue. See       |  |
| Instruction 1(b).                   |  |
|                                     |  |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Sereda Brian J                    |               | Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>Energous Corp</u> [ WATT ] |                       | ationship of Reporting P<br>k all applicable)<br>Director                            | 10% Owner                              |
|---|---------------|---------------------|---|-----------------------|--|--|
| (Last) (First) (Middle)<br>C/O ENERGOUS CORPORATION<br>3590 NORTH FIRST STREET, SUITE 210 |               | ATION               | 3. Date of Earliest Transaction (Month/Day/Year)<br>11/16/2020                      | X                     | Officer (give title<br>below)<br>Senior Vice Presid                                  | Other (specify<br>below)<br>dent & CFO |
| (Street)<br>SAN JOSE<br>(City)  | CA<br>(State) | 95134<br>(Zip)      | 4. If Amendment, Date of Original Filed (Month/Day/Year)                            | 6. Indi<br>Line)<br>X | vidual or Joint/Group Fil<br>Form filed by One Re<br>Form filed by More th<br>Person | porting Person                         |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   |        |               |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|------------------------------|---|--------|---------------|--------|---|---|---|--|
|                                 |  |   | Code                         | v | Amount | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4)  |   |   |  |
| Common Stock                    | 11/16/2020                                 |   | <b>S</b> <sup>(1)</sup>      |   | 11,075 | D             | \$2.05 | 281,990   | D   |   |  |
| Common Stock                    |  |   |                              |   |        |               |        | 12,015  | I   | By The<br>Sereda<br>Family<br>Trust <sup>(2)</sup>                |  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

|   |   |  | (* 5 / 1*   | ,                            | , |     | ,  |  |                    |                               |   | ,   |  |  |  |
|---|---|--|---|------------------------------|---|-----|--|--|--------------------|-------------------------------|---|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   |     | vative<br>rities<br>lired<br>r<br>osed<br>)<br>r. 3, 4 | 6. Date Exerc<br>Expiration Da<br>(Month/Day/N | ate                | Amou<br>Secu<br>Unde<br>Deriv | rities<br>rlying<br>ative<br>rity (Instr. | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A) | (D)  | Date<br>Exercisable                            | Expiration<br>Date | Title                         | Amount<br>or<br>Number<br>of<br>Shares    |   |  |  |  |

### Explanation of Responses:

1. This transaction was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person. Represents the number of shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting of restricted stock units.

2. The shares are held of record by Brian James Sereda and Denise Carole Sereda, Trustees of The Sereda Family Trust dated June 21, 2002.

### **Remarks:**

/s/ Bill Mannina, Attorney-in-Fact <u>11/18/2020</u>

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.