SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Fairbairn Malcolm			2. Date of Event Requiring Statement (Month/Day/Year) 08/09/2016		3. Issuer Name and Ticker or Trading Symbol <u>Energous Corp</u> [WATT]					
	(First) D CAPITAL, LI VAY, SUITE 200				4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title v Other (specify			 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check 		
(Street) ORINDA	СА	94563			Member of 10% Ow	below)		Applicable Line) Form filed b	y One Reporting Person y More than One	
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				2. Amount of Securities Beneficially Owned (Instr. 4)			I. Nature of Indirect Beneficial Ownership Instr. 5)			
Common Stoc	rk				81,667	I	E	By Spouse ⁽¹⁾		
Common Stoc	k				315,462	I	S	See footnote ⁽¹⁾⁽²⁾		
Common Stoc	k				133,333	I	E	By Trust ⁽¹⁾⁽³⁾		
Common Stoc	k				133,333	I	E	By Trust ⁽¹⁾⁽⁴⁾		
Common Stoc	k				1,618,123	I	S	See footnote ⁽¹⁾⁽⁵⁾		
		(e.			e Securities Beneficially Ints, options, convertible		s)			
1. Title of Derivative Security (Instr. 4) 2. Date Exerci Expiration Date (Month/Day/Yet)			isable and	d 3. Title and Amount of Securities 4. Underlying Derivative Security (Instr. 4) Conve or Exe		4. Convers	cise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
			Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Price of Derivati Security	ive or Indirect		
Warrant			02/13/2017	08/12/2021	Common Stock	809,061	23	D ⁽¹⁾		
Warrant			02/13/2017	08/12/2021	Common Stock	809,062	23	I	By Spouse ⁽¹⁾	
Warrant			05/19/2013	03/31/2019	Common Stock	13,889	3.6	I	By Spouse ⁽¹⁾	
1. Name and Ad Fairbairn N	dress of Reporting <mark>/[alcolm</mark>	Person*								
(Last) (First) (Middle) C/O ASCEND CAPITAL, LLC 4 ORINDA WAY, SUITE 200-C				_						
(Street) ORINDA	CA	94563		_						
(City)	(State)	(Zip)								
1. Name and Address of Reporting Person* <u>FAIRBAIRN EMILY</u>										
(Last) (First) (Middle) C/O ASCEND CAPITAL, LLC 4 ORINDA WAY, SUITE 200-C										
(Street) ORINDA	CA	94563								
(City)	(State)	(Zip)								

L									
1. Name and Address	of Reporting Person [*]								
VALLEY HIGH LIMITED PARTNERSHIP									
(II = =+)		(1.6:- - -)							
(Last)	(First)	(Middle)							
	C/O ASCEND CAPITAL, LLC 4 ORINDA WAY, SUITE 200-C								
4 OKINDA WAI,	5011E 200-C								
(Street)									
ORINDA	CA	94563							
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(City)	(State)	(Zip)							
1. Name and Address of Reporting Person*									
VALLEY HIG	<u>H CAPITAL LLC</u>	2							
(Last)	(First)	(Middle)							
C/O ASCEND CA									
4 ORINDA WAY,									
(Street)									
ORINDA	CA	94563							
(City)	(State)	(Zip)							
1. Name and Address $\mathbf{CR} \mathbf{A} \mathbf{NT} \mathbf{F} \mathbf{A} \mathbf{IR}$	BAIRN IRREVO	CARLETRUST							
SEPTEMBER		CADLE IRUSI							
,	<u></u>								
(Last)	(First)	(Middle)							
C/O ASCEND CA	PITAL, LLC								
4 ORINDA WAY,	SUITE 200-C								
(Street)	CA	94563							
,	GI								
(City)	(State)	(Zip)							
1. Name and Address	of Reporting Person*								
NINA FAIRBA	AIRN IRREVOCA	ABLE TRUST							
<u>SEPTEMBER</u>	<u>30, 2011</u>								
· · · · ·									
(Last)	(First)	(Middle)							
C/O ASCEND CA	· · · · · · · · · · · · · · · · · · ·								
4 ORINDA WAY,	SUITE 200-C								
(Street)									
ORINDA	CA	94563							
(City)	(State)	(Zip)							
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Explanation of Responses:

1. Malcolm Fairbairn is a 10% owner of the shares of common stock (the "Shares") of Energous Corporation, a Delaware corporation (the "Issuer"). Malcolm Fairbairn, Emily Fairbairn, Valley High Limited Partnership, Valley High Capital LLC, Nina Fairbairn Irrevocable Trust September 30, 2011, Grant Fairbairn Irrevocable Trust September 30, 2011, Ascend Partners Fund I, Ltd., Ascend Capital Limited Partnership and Ascend Capital, LLC are members of a 10% group of the Issuer. Please see the Form 3 with respect to the Issuer filed on August 19, 2016 by Ascend Legend Master Fund, Ltd., Ascend Partners Fund I, Ltd., Ascend Partners Fund I, Ltd., Ascend Capital, LLC are members of a 10% group of the Issuer. Please see the Form 3 with respect to the Issuer filed on August 19, 2016 by Ascend Legend Master Fund, Ltd., Ascend Partners Fund I, Ltd., Ascend Partners Fund I, Ltd., Ascend Capital LLC.

2. Valley High Limited Partnership is the direct beneficial owner of these Shares. Valley High Capital LLC is the general partner of Valley High Limited Partnership. Malcolm Fairbairn and Emily Fairbairn are the managing members of Valley High Capital LLC. Valley High Capital LLC, Malcolm Fairbairn and Emily Fairbairn are indirect beneficial owners of these Shares.

3. Nina Fairbairn Irrevocable Trust September 30, 2011 is the direct beneficial owner of these Shares. Malcolm Fairbairn and Emily Fairbairn are co-trustees of the Nina Fairbairn Irrevocable Trust September 30, 2011. Malcolm Fairbairn and Emily Fairbairn are indirect beneficial owners of these Shares.

4. Grant Fairbairn Irrevocable Trust September 30, 2011 is the direct beneficial owner of these Shares. Malcolm Fairbairn and Emily Fairbairn are co-trustees of the Grant Fairbairn Irrevocable Trust September 30, 2011. Malcolm Fairbairn and Emily Fairbairn are indirect beneficial owners of these Shares.

5. These Shares are directly held by Ascend Legend Master Fund, Ltd., which has filed a separate Form 3 with respect to these Shares on August 19, 2016. Malcolm Fairbairn is an indirect beneficial owner of these Shares.

MALCOLM P. FAIRBAIRN	08/19/2016
EMILY FAIRBAIRN	<u>08/19/2016</u>
VALLEY HIGH LIMITED	
PARTNERSHIP By: Valley	
High Capital LLC By: Name:	<u>08/19/2016</u>
<u>Malcolm Fairbairn Title:</u>	
Managing Member	
VALLEY HIGH CAPITAL	08/19/2016
LLC By: Name: Malcolm	

Fairbairn Title: Managing
MemberGRANT FAIRBAIRN
IRREVOCABLE TRUST
SEPTEMBER 30, 2011 By:
Name: Malcolm Fairbairn
Title: TrusteeNINA FAIRBAIRN
IRREVOCABLE TRUST
SEPTEMBER 30, 2011 By:
Name: Malcolm Fairbairn
Title: Trustee

08/19/2016

08/19/2016

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.