SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Add Gaulding Jo	1 0) Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>Energous Corp</u> [WATT]		tionship of Reporting Pe all applicable) Director	erson(s) to Issuer 10% Owner
3590 NORTH FIRST STREET, SUITE 210			3. Date of Earliest Transaction (Month/Day/Year) 01/15/2016		Officer (give title below)	Other (specify below)
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)	
(Street) SAN JOSE	CA	95134		X	Form filed by One Re Form filed by More th	
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
				v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	01/15/2016		М		25,000 ⁽¹⁾	Α	\$0.00	25,016	D	
Common Stock	01/15/2016		М		4,394 ⁽²⁾	Α	\$0.00	29,410	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(3)	01/15/2016		М			25,000	(4)	(4)	Common Stock	25,000	\$0.00	0	D	
Restricted Stock Units	(3)	01/15/2016		М			4,394	(5)	(5)	Common Stock	4,394	\$0.00	0	D	

Explanation of Responses:

1. Represents shares of common stock received upon vesting of a restricted stock unit award that vested on January 1, 2016 and settled on January 15, 2016.

2. Represents shares of common stock received upon vesting of a restricted stock unit award that vested on January 2, 2016 and settled on January 15, 2016.

3. Each restricted stock unit represents a contingent right to receive one share of common stock.

4. Represents a restricted stock unit award granted on May 21, 2015 that vested on January 1, 2016 and settled on January 15, 2016.

5. Represents a restricted stock unit award granted on January 2, 2015 that vested on January 2, 2016 and settled on January 15, 2016.

Remarks:

/s/ John Gaulding by Patrick J.

Rogers, attorney-in-fact
** Signature of Reporting Person

01/20/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.